

Personal History Form

To be completed by each individual with more than 20% ownership in the company

| PERSONAL HISTORY | | | |
|--|------------------------------|--|------------------------------|
| Company Name | | | |
| Your Name (First, Middle, Maiden, Last) | | | Social Security No. |
| Identify all prior names used since birth and indicate the year of the change | | | |
| Date of Birth | Place of Birth (City, State) | Race | Gender |
| Home Address (Street, City, State, Zip) | | | |
| At Current Address From | | to present | Email |
| Cell Phone | | Business Phone | |
| Previous Address (Street, City, State, Zip) | | | From to |
| Spouse Name | | Spouse Email | |
| Are you a US Citizen? <input type="checkbox"/> Yes <input type="checkbox"/> No | | If "No", are you a Lawful Permanent resident alien? <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Provide Alien Registration Number: | | | |
| Do you have an ownership interest in any other entity that has existing SBA loans? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide loan numbers: | | | |
| Are you presently subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No Date: | | | |
| Have you been arrested in the past six months for any criminal offense? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| For any criminal offense—other than a minor vehicle violation—have you ever: 1) been convicted; 2) plead guilty; 3) plead nolo contendere; 4) been placed on pretrial diversion; or 5) been placed on any form of parole or probation (including probation before judgment)? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| Are you presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| Are you more than 60 days delinquent on any obligation to pay child support arising under an administrative order, court order, repayment agreement between the holder and a custodial parent, or repayment agreements between the holder and a state agency providing child support enforcement services? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| Have you ever declared bankruptcy? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| Are you currently the subject of any pending lawsuits (including divorce)? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| Have you or any company you're affiliated with received any previous government financing, including PPP or EIDL loans? | | | |
| <input type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| If the answer to any of the above questions is yes, please list the principal's name and circumstances on a separate worksheet | | | |

| MILITARY SERVICE BACKGROUND | |
|------------------------------------|---|
| Branch | From _____ to _____ |
| Rank at Discharge | Honorable? <input type="checkbox"/> Yes <input type="checkbox"/> No |

| WORK EXPERIENCE (list chronologically beginning with present employment. Please account for last 10 years) | |
|---|---------------------|
| Name of Company | % of Business Owned |
| Full Address (Street, City, State, Zip) | |
| Title | From _____ to _____ |
| Duties | |

| | |
|---|---------------------|
| Name of Company | % of Business Owned |
| Full Address (Street, City, State, Zip) | |
| Title | From _____ to _____ |
| Duties | |

Please list any other company you have ownership in and your ownership percentage in each

| | |
|--|--|
| | |
|--|--|

| EDUCATION | |
|--------------------------------------|------------------------------|
| Name of School | Attended from _____ to _____ |
| Major | Degree |
| List any professional certifications | |

AUTHORIZATION TO RELEASE INFORMATION

I hereby authorize any financial corporations, insurance companies, investors, credit bureaus, the U.S. Small Business Administration, employers, banks, etc., to release any and/or all information on my records and/or accounts to Mountain West Small Business Finance at its request. I also authorize any information to be released by my original or photocopied signature.

I hereby certify that the enclosed information, including any attachments or exhibits provided here within or at a later date, is valid and correct to the best of my knowledge.

Signed: _____

Date: _____

Printed Name: _____